Hormonal and modality specific effects on males' emotion recognition ability Adi Lausen^{*1,3}, Christina Broering¹, Lars Penke^{2,3}, Annekathrin Schacht^{1,3}

¹Department of Affective Neuroscience and Psychophysiology, Institute of Psychology, University of Goettingen, 37073 Goettingen, Germany

²Department of Biological Personality Psychology, Institute of Psychology, University of Goettingen, 37073 Goettingen, Germany

³Leibniz ScienceCampus "Primate Cognition", 37077 Goettingen, Germany

*Email: adi.lausen@psych.uni-goettingen.de

Abstract

1 Successful emotion recognition is a key component of human socio-emotional communication skills. However, little is known about the factors impacting males' accuracy in emotion 2 3 recognition tasks. This pre-registered study examined potential candidates, focusing on the 4 modality of stimulus presentation, emotion category and individual baseline hormone levels. In 5 an additional exploratory analysis, we examined the association of testosterone x cortisol 6 interaction with recognition accuracy and reaction times. We obtained accuracy and reaction 7 time scores from 282 males who categorized voice, face and voice-face stimuli for nonverbal 8 emotional content. Results showed that recognition accuracy was significantly higher in the 9 audio-visual than in the auditory or visual modality. While Spearman's rank correlations 10 showed no significant association of testosterone (T) with recognition accuracy or with 11 response times for specific emotions, the logistic and linear regression models uncovered some evidence for a positive association between T and recognition accuracy as well as between 12 cortisol (C) and reaction time. In addition, the overall effect size of T by C interaction with 13 recognition accuracy and reaction time was significant, but small. Our results establish that 14 15 audio-visual congruent stimuli enhance recognition accuracy and provide novel empirical support by showing that the interaction of testosterone and cortisol relates to males' accuracy 16 17 and response times in emotion recognition tasks.

Keywords: Emotion Recognition, Prosody, Facial Expressions, Testosterone, Cortisol, Dual-hormone hypothesis

Introduction

1 Emotion recognition is a basic skill thought to carry clear advantages for predicting behaviour, as well as forming and maintaining social bonds (e.g., Fischer and Manstead, 2008; Soto and 2 3 Levenson, 2009). Conversely, difficulties in correctly recognizing emotional expressions can 4 lead to problematic social relationships and might result in the development and maintenance 5 of psychopathology (e.g., Keltner and Kring, 1998; Marsh and Blair, 2008; Kohler et al., 2009). 6 Intriguingly, research on sex differences highlights that males are less accurate than females 7 when completing emotion recognition tasks (e.g., Hall, 1984; Thompson and Voyer, 2014). 8 However, effect sizes were comparably small and multiple factors known to impact the ability 9 to recognize emotions have yet to be fully controlled for (e.g., Hall et al., 2000; see Chaplin, 10 2015; Fischer and LaFrance, 2015; Hyde, 2014; Schirmer, 2013 for an overview regarding 11 explanations for sex-based behaviour patterns). Moreover, there is no direct evidence to explain 12 why males have an often-assumed disadvantage in accurately recognizing emotions. Therefore, 13 the main aim of this study was to systematically investigate potential factors that might impact 14 males' ability to recognize emotions.

15 One of the factors supposed to impact emotion recognition is the modality of stimulus presentation (Hall, 1984). In many everyday situations, judgments about others' emotional 16 17 states require the integration of information from various sensory modalities making use of different cues such as facial expressions, tone of voice (i.e., prosody), or body language (Klasen 18 et al., 2014). Thus, it has been argued that emotion recognition is a multimodal event (Piwek et 19 20 al., 2015). Indeed, a growing number of studies pointed out that in emotion recognition tasks 21 the stimuli presented in isolation (i.e., visual or auditory) led to lower accuracy scores and slower response times than the audio-visual presentation of emotional expressions (de Gelder 22 23 and Vroomen, 2000; Kreifelts et al., 2007; Collignon et al., 2008; Baenziger et al., 2009; Paulmann and Pell, 2011; Jessen et al., 2012). Research on unimodal emotion recognition 24

1 reported better recognition of emotions from faces than from voices (e.g., Waaramaa, 2017). 2 However, these observations were often contradictory (e.g., Kraus, 2017). Furthermore, 3 previous research in the unimodal domains highlighted that specific emotions were not 4 recognized equally well in the auditory and visual modality. In studies on the vocal channel, participants were faster and most accurate to recognize anger (e.g., Juslin and Laukka, 2003; 5 6 Cornew et al., 2009; Chronaki et al., 2018), while in studies on facial expressions, happiness was shown to be recognized more accurately and faster than any other emotion (e.g., Elfenbein 7 8 & Ambady, 2002; Palermo & Coltheart, 2004; Montagne et al., 2007; Williams et al., 2009; 9 Nummenmaa and Calvo, 2015; Wells et al., 2016; Kosonogov and Titova, 2018). Despite these 10 converging patterns, it is not yet possible to make definite claims regarding the advantage of 11 certain emotion categories because, at least within the vocal domain, recognition accuracy (RA) was found to be strongly influenced by the type of stimulus used (see Lausen and 12 Hammerschmidt, 2020, for an overview). Whether the voice is a more reliable source than the 13 face in emotion recognition tasks has been rarely pursued, and results are limited to specific 14 15 emotions, paradigms, as well as, by a number of methodological differences between studies. Thus, until further evidence regarding RA within specific sensory modalities and emotion 16 categories is provided, the direction of these effects remains an open question. 17

18 Steroid hormones, including reproductive (i.e., testosterone) and stress-related hormones (i.e., cortisol) were emphasized as potential predictors of individuals' emotion recognition ability 19 20 (e.g., Gignell et al., 2019). However, only little is known about the effects of these two 21 hormones on emotion recognition. This gap is surprising since testosterone (T) and cortisol (C) 22 receptors are distributed throughout the nervous system with high concentrations in areas associated with emotion processing, such as the hypothalamus and amygdala (Hakamata et al., 23 24 2017; Gignell et al., 2019). T is released as the end-product of the hypothalamic-pituitarygonadal axis (see Zilioli and Bird, 2017, for details), while C is released by the hypothalamic-25

1 pituitary-adrenal (HPA) axis in response to psychological and physical stress or challenges 2 (Jankord and Herman, 2008; see McEwen, 2008, for a comprehensive review on consequences of C on health and diseases). Both T and C have been associated to a range of human social 3 4 behaviours, including competition, aggression, social status and motivation (e.g., Archer et al. 2005; van Bokhoven et al., 2005; Archer, 2006; Cohen et al., 2006; Schultheiss et al., 2016; 5 6 Carré and Archer, 2017; Zilioli and Bird, 2017). However, only few studies have assessed the 7 association between T or C concentrations on emotion recognition in both sexes, and an even 8 smaller subsection has specifically addressed the impact of these two hormones on males' 9 ability to recognize emotions.

10 The most direct evidence of an association between T and emotion recognition comes from 11 studies showing that in females exogenous administration of T impaired their sensitivity to threatening facial expressions (van Honk and Schutter, 2007), and that a 0.5-mg single-dose T 12 administration altered connectivity to brain areas (e.g., left inferior frontal gyrus) that are 13 associated with the identification and processing of emotional expressions (van Honk et al., 14 2011; Bos et al., 2016; see also Bos et al., 2012, for a comprehensive review on placebo-15 controlled single hormone administration studies). In addition, there is evidence that individuals 16 with higher baseline T were less attuned to their partners' thoughts and feelings (e.g., lower 17 18 empathic accuracy), independent of their sex (e.g., Ronay and Carney, 2013; Nitschke and Bartz, 2020). Briefly summarized, the results across these studies indicate that T has a negative 19 relationship with a variety of empathic processes and suggest that higher levels of T might 20 21 predict deficits in RA. However, other researchers assessing the causal effect of exogenous T 22 and the moderating effect of prenatal androgenic biomarkers (i.e., 2D:4D digit ratio) on empathy found no robust evidence that T administration or early T exposure causes 23 24 impairments in males' cognitive empathy (e.g., Nadler et al., 2019).

1 The findings from the few studies on the association between naturally occurring T levels and 2 males' performance accuracy in explicit emotion recognition tasks also yields inconclusive 3 results. By presenting participants with emotional facial expressions at two different intensity 4 levels (i.e., 50% and 100%), Rukavina et al. (2018) found that RA decreased when baseline salivary T was high, especially for full-blown expressions of sadness and for disgust when 5 6 presented at 50% intensity. Similarly, Fujisawa & Shinohara (2011) reported a negative 7 correlation between baseline salivary T levels and emotion recognition in male adolescents. In contrast, a more recent study, found a positive association between competition-induced 8 9 changes in T levels and emotion recognition (Vongas and Al Hajj, 2017). An fMRI study by 10 Derntl et al. (2009) found no correlation between blood T levels and males' RA. However, the 11 authors reported an increased amygdala activity in individuals with high T levels during the 12 presentation of fearful and angry faces. Moreover, the authors found a negative correlation between T and RT to fearful male faces (the higher the T level, the faster the response time). 13 Similar patterns were reported by a variety of studies which showed that, through increased 14 15 amygdala activity, T directs attention to threat related social cues, in particularly to emotions, such as fear (e.g., Bos et al., 2013) and anger (e.g., van Honk et al., 1999; Wirth and Schultheiss, 16 2007). In addition, during approach-avoidance tasks, both healthy and social anxious females 17 18 showed less avoidance and faster RTs towards angry faces after T administration (e.g., Enter et al., 2016; 2014). Accordingly, it has been argued that increased levels of T reduce the sensitivity 19 towards threat-related emotional expressions. They thereby facilitate approach behaviour as 20 21 apparent in changes in RTs and amygdala activation, which is strongly linked to the behavioural 22 fight and flight system (Derntl et al. 2009).

Concerning the relationship between the processing of emotional material and changes in C
levels, the reported evidence across studies is also inconsistent. Although the empirical
evidence converges to some extent that in *emotional Stroop-, induced stress-* and *cognitive*

1 tasks, participants with heightened baseline salivary C levels orient their attention towards 2 threatening stimuli, i.e., angry faces (van Honk et al., 2000; Roelofs et al., 2007; Ellenbogen et al., 2010), opposite patterns were also observed (e.g., van Honk et al., 1998). Inconclusive 3 results were also reported on the association between RA and C levels in explicit emotion 4 recognition tasks. For instance, Feeney et al. (2012) found that higher baseline C levels are 5 6 negatively associated with RA (lower performance) and RTs (faster response times) for angry and happy faces. Conversely, other studies reported that in induced stress contexts RA was 7 8 positively associated with higher C levels (Deckers et al., 2015) and that this association is 9 moderated by high baseline T, especially when categorizing angry and fearful facial expressions 10 (Bechtoldt and Schneider, 2016). However, in a placebo-controlled, double-blind study 11 Duesenberg et al. (2016) found no evidence for an association between emotion recognition 12 and increases in C levels (after the administration of 10 mg hydrocortisone) in healthy young individuals. 13

14 Taken together, the results from the above-mentioned studies provided conflicting evidence on 15 the associations between T or C alone with RA/RTs in explicit emotion recognition tasks. These 16 contradictory findings are likely the result of a number of methodological differences such as insufficient statistical power (i.e., most of these studies had small sample sizes), type of 17 18 hormone assessments (e.g., T assessment from blood or saliva; naturally occurring levels vs. exogenous administration; competition- or stress-induced levels), storage and analyses of 19 hormone samples (see Schultheiss et al., 2019, for details), the population in question (e.g., 20 21 adolescents, healthy young adults, clinical populations), as well as, the number of emotions 22 studied (which range from two to six across studies). Another possible explanation for the discrepancies is that C may constrain T influences on emotion recognition. To reconcile mixed 23 24 findings on the roles of T and C in human social behaviour, Mehta and Josephs (2010) proposed the dual-hormone hypothesis (DHH). According to this hypothesis, T predicts a wide range of 25

1 behaviours, but only under the condition that C concentrations are low. If C concentrations are 2 high, the T-behaviour association is supposed to be attenuated (Carré and Mehta, 2011; Mehta 3 and Prasad, 2015). This hypothesis was supported by several studies, which demonstrated that 4 across different psychological domains the interaction between T and C is associated with empathy, as well as, dominant, status-relevant, risk-taking and antisocial behaviour (see Sarkar 5 6 et al., 2019, for an overview). However, it should be noted that other studies reported only small effects (e.g., Dekkers et al., 2019; Grebe et al., 2019), null-findings (e.g., Mazur and Booth, 7 8 2014), or even reversed patterns [i.e., T related to status-relevant behaviour or facial dominance 9 for high but not low C (e.g., Welker et al., 2014; Kordsmeyer et al., 2018)] for the DHH. 10 Considering the interaction between the HPG and HPA axes might nevertheless lead to more 11 reliable predictions regarding emotion recognition than the assumption of a single-hormone 12 association (Sarkar et al., 2019; Carré and Mehta, 2011).

Against the background of the above-mentioned findings, the present study had three major 13 14 aims. Firstly, it aimed at examining whether males' RA is influenced by the modality of 15 stimulus presentation. We hypothesized that RA would be better in the audio-visual modality than in the auditory or visual modality (1a), and lower in the visual compared to the auditory 16 modality (1b). Second, we aimed to replicate previous findings by examining the extent RA 17 18 and RTs vary across discrete emotion categories as a function of modality (e.g., Lambrecht et al., 2014). Specifically, we expected higher accuracy scores and faster RTs for disgusted, 19 20 fearful and sad expressions in the audio-visual than in both the auditory and the visual modality 21 (2a). We also hypothesized that angry expressions would be identified faster and with higher 22 accuracy in the vocal compared to the facial domain, while we expected the reverse pattern for happy expressions (2b). A third aim was to alleviate some of the methodological flaws of 23 24 previous research by using a large sample size to examine whether variations in males' ability to recognize emotions are due to baseline T level concentrations. We expected a negative 25

correlation between T and RA (3a), and that participants with high levels of T would
 specifically react faster to angry and fearful expressions (3b)¹. In addition, we conducted an
 exploratory analysis on the associations between baseline C and RA, C and RT, as well as on
 the relationship between RA or RT and the interaction between T and C levels.

Method

5 The study was approved by the ethics committee of the Georg-Elias-Mueller-Institute of 6 Psychology (University of Goettingen) and conducted in accordance with the ethical principles 7 formulated in the Declaration of Helsinki (2013). Participants gave informed consent and were 8 reimbursed with course credit or 8 Euros per hour.

Participants

9 A target sample size of 231 males was determined using an approximate correlation power analysis, Bonferroni-corrected for multiple testing (r = .25; $\alpha = .05/20$; $1 - \beta = .80$). To account 10 11 for possible attrition, the sample size was increased by a minimum of 14%. A total of 312 males 12 (age range 18-36 years; $M_{Age} = 24.3$, SD = 3.7) were recruited on the university campus using flyers and via the participant database of the Institute of Psychology (ORSEE, www.orsee.org), 13 as well as by posts on the social media site Facebook and the online platform Stellenwerk 14 15 Jobportal University Goettingen (www.stellenwerk-goettingen.de). Of the 312 recruited subjects, 30 participants were excluded from analysis due to self-reported hearing problems, 16 psychiatric or neurological disorders, or intake of psychotropic/hormone medication. After 17 these exclusions, a total of 282 participants with a mean age of 24.3 years (SD = 3.8) were 18 included in the analysis. 19

¹ All hypotheses tested in the current paper have been pre-registered (osf.io/w2tgr). This pre-registration contained further hypotheses that are not part of the present paper. As in the pre-registration for the exploratory analysis any information regarding decisions/analysis plan is optional we had not provided any information related to this.

Stimulus material

Stimuli were displayed under three experimental modality conditions: auditory, visual and
 audio-visual. In each experimental condition, stimuli were presented in one of the emotions of
 interest (i.e., anger, disgust, fear, happiness, sadness) as well as in a neutral state (i.e., baseline
 expression).

Audio stimuli

5 The audio stimuli consisted of pseudo-speech (i.e., pseudo-words, pseudo-sentences) and nonverbal vocalizations (i.e., affect bursts). We decided to use pseudo-speech (i.e., a language 6 7 devoid of meaning) and non-verbal vocalizations as they have been argued to capture the pure 8 effects of emotional prosody, independent of lexical-semantic cues, and thus to be an ideal tool 9 for investigating the expression of emotional information when there is no concurrent verbal information present (Banse and Scherer, 1996; Pell et al., 2015). The stimuli were sampled 10 from well-established databases or provided by researchers, who developed their own stimulus 11 materials. We validated all stimuli in a previous study (Lausen and Schacht, 2018; cf. Lausen 12 and Hammerschmidt, 2020) and selected only a subset of stimuli (i.e., with the highest 13 accuracy) from each database (see Table 1). 14

Database	Speakers	Emotions	Nature of material	Number of stimuli selected	Total stimuli
Magdeburg Prosody Corpus	2 actors		Pseudo-words	4	48
(Wendt & Scheich, 2002)	(1 male/1 female)				
Paulmann Prosodic Stimuli (Paulmann & Kotz, 2008; Paulmann et al., 2008)	2 actors (1 male/1 female)	Anger, disgust, fear, happiness, sadness, neutral	Pseudo-sentences	4	48
Montreal Affective Voices (Belin et al., 2008)	8 actors (4 male/4female)		Affect bursts		48

The physical volume of stimulus presentations across the nine laptops used in the experiment was controlled by measuring sound volume of the practice trials with a professional sound level meter, *Nor140* (Norsonic, 2010, Lierskogen, Norway). No significant difference in volume intensity was observed [$F_{(8,40)} = 1.546$, p = 0.173].

Visual stimuli

Visual stimuli consisted of 24 frontal face photographs (12 males/12 females), extracted from the *Radboud Faces Database* (Langner et al., 2010). The presentation duration of the faces was matched to the length of the voice stimuli (i.e., from 319 ms to 4821 ms). A grey ellipsoid mask, ensuring a uniform figure/ground contrast surrounded the stimuli, with only the internal area of the face visible (9x14 cm, width and height). The stimuli were presented in colour and corrected for luminance across emotion conditions [$F_{(5,137)} = 0.200$, p = 0.962], using *Adobe Photoshop CS6* (Version 13.0.1, 2012, San Jose, CA).

Audio-visual stimuli

8 In the audio-visual condition, the voice stimuli were simultaneously presented with the face

9 stimuli. Using Adobe Premiere Pro CS6 (Version 6.0.5) videos were created, matching face

10 and voice stimuli for sex and emotion category.

Procedure, experimental task and saliva samples

11 Participants were informed that the study required them to provide two saliva samples over a 12 period of about two hours. A day before the main experiment, they were sent an email 13 instructing them to abstain from sports and the consumption of alcohol, drugs or unnecessary medication on the day of the study. Furthermore, they were instructed not to consume drinks 14 15 containing caffeine within three hours of the experiment and to refrain from eating, drinking (except water), smoking and brushing their teeth within one hour of the experiment. Adherence 16 17 to these instructions was assessed using a screening questionnaire (the items were adapted from 18 Schultheiss and Stanton, 2009, and are displayed in Table S1 in supplementary material). Baseline testosterone levels have been demonstrated to be influenced by a 24-hour circadian 19 cycle in which they are highest in the early morning and drop over the course of the day (Dabbs, 20 21 1990). As individual differences in peak hormone levels measured in the morning have been argued to be a better predictor of behavioural responses to emotional stimuli than measurements 22

later in the day (Schultheiss and Stanton, 2009), the designated time slot for testing was between
 9:00am to 11:00am.

Participants were tested in groups of up to nine individuals. On the day of the study, after completing the consent form, participants received oral and written instructions about the procedure of the experiment and the collection of saliva samples. The saliva samples were collected before (T1) and after (T2) the *Emotion Recognition Task*². The experiment was programmed using *Python* (Version 2.7.0, Python Software Foundation, Beaverton, OR) and run on a *Dell Latitude E5530 Laptop* with a 15.6 LCD display screen. The audio stimuli were presented binaurally via headphones (*Bayerdynamic DT 770 PRO*).

Emotion recognition task

The emotion recognition task consisted of three blocks, each block displaying one of the three 10 11 experimental conditions: auditory, visual, and audio-visual. Each experimental condition 12 contained 144 stimuli. A permutation was applied to randomize the order in which the experimental conditions were presented to the participants. Six different permutations were 13 14 created, and each permutation was allocated randomly in blocks of six participants. The order of the stimuli within each experimental condition was completely randomized. The audio and 15 visual stimuli were matched for duration, sex, and emotion category (see Table S2 in 16 supplementary material for an example of how the audio and visual stimuli were matched). 17 18 Before each experimental condition, participants were familiarized with the task in a short 19 training session comprised of three stimuli. Each trial began with a blank screen followed by a fixation cross. Following the presentation of a stimulus, a circular answer display appeared, 20

² The data reported in this paper was obtained within the confines of a larger study. The experiment began with a short demographic questionnaire followed by the *Screening Questionnaire* (Schultheiss and Stanton, 2009), *Multi-Motive Grid* [MMG, Sokolowski et al., 2000] and *Positive and Negative Affect Schedule* [PANAS, Breyer & Bluemke, 2016]. Next, the first saliva sample (T1) was taken. After a short break, the *Emotion Recognition Task* ensued, followed by PANAS, and the collection of the second saliva sample (T2). The saliva samples were collected approximately 10 minutes before and after the emotion recognition task. The experiment ended with the completion of *Multifaceted Empathy Test* short form [MET, Dziobek et al., 2008] and *Big Five Inventory* [BFI, Danner et al., 2016]. As MMG, PANAS, MET and BFI are not relevant to the present manuscript they are not further reported.

1 containing all six categories of interest (i.e., anger, disgust, fear, happiness, sadness, neutral) 2 and the selection cursor, which appeared in the centre of the display. The sequence of the 3 emotion labels was randomized for each participant and remained the same throughout the task. 4 Participants had to select an emotion category, using the mouse to move the cursor, before the 5 next stimulus was presented. Reaction times were measured, starting with the onset of the 6 answer display and ending with the participant's response. Although there was no time limit for emotion judgments, participants were instructed to respond as accurately and quickly as 7 8 possible to the presented stimuli. Figure 1 displays the time course of the emotion recognition 9 task.



Figure 1 | Emotion recognition task

Each trial began with a blank screen (shown for 1000ms) which was followed by a fix ation-cross appearing at the center of the screen (for 1000ms) at which participants were asked to fixate throughout the trial. After the presentation of the stimulus a circular answer display containing all six categories of interest (i.e., anger, disgust, fear, happiness, neutral and sadness) and the selection cursor (which appeared in the center of the display) were presented. The responses were made by using the mouse to move the cursor. Reaction times were measured, starting with the onset of the answer display and ending with the participant's response. There was no time limit for emotion judgments. Participants could hear/see the stimulus only once. The presentation of the stimuli was initiated by pressing the *Spacebar*-key at the beginning of each block. At the end of each block a visual message in the center of the screen instructed participants to take a break if they wished to or to press the *Spacebar*-key to proceed with the next block.

Saliva samples

10	The two saliva samples (2 ml per sample) were collected from each participant via passive drool
11	through a straw (Schultheiss et al., 2012) into an IBL SaliCap sampling device. These plastic
12	vials were stored frozen at -80°C until shipment on dry ice to the Endocrinology Laboratory at
13	Technical University of Dresden. At this facility, the samples were analysed for T and C levels

1 via chemiluminescence immunoassays with high sensitivity (IBL International, Hamburg 2 Germany). The intra- and inter-assay coefficients of variation for T were < 11% and for C <8%. For T the variance between participants was 14.81% and 3.85% within participants with 3 an intra-class correlation coefficient (ICC) of 79.35%, while for C the variance between 4 participants was 23.78% and 28.20% within participants with an ICC of 45.74%. As the 5 distributions of T and C were positively skewed ($T_{skewness} = 1.56$; $C_{skewness} = 1.49$) a log-6 transformation was performed (e.g., Mehta et al., 2015a; Kordsmeyer et al., 2018). The log-7 8 transformation reduced skewness substantially $[\log(T) \text{ skewness} = -0.06; \log(C) \text{ skewness} =$ 9 0.01]. Outliers were winsorized to ± 3 standard deviations (Mehta et al., 2015a). Going beyond 10 the pre-registered analyses, we averaged the two baseline measures for T and C in order to 11 obtain a more reliable value and to cover the observation interval (Idris et al., 2017; Kordsmeyer 12 et al., 2018), as well as to prevent for a "falsely" high or low measurements (Rukavina et al., 13 2018).

Study design and statistical analysis

A balanced within-subjects factorial design was fitted to assess males' judgments of emotions.
The design was balanced for modalities, emotion categories and encoder sex in each stimulus
type. Independent within-participant factors were modalities, emotion categories, stimuli types,
and encoder sex. Independent between-participant variables were T and C. Dependent variables
were RA and RT.

In line with our preregistration, the primary analysis for our first and second hypotheses was performed using *Friedman-* and *Wilcoxon-rank-sum* tests. For the association between the dependent variables (RA, RT) and T levels we ran *Spearman* correlations (H3a, b). The exploratory analyses of the quantitative variables T and C were performed using generalized linear models (*quasi-binomial logistic regression*) for the binary response variable emotion recognition and linear models for the response variable reaction time, which was normalized by log transformation. The dispersion parameter of the quasi-binomial model accounted for dependencies caused by repeated measurements within the participants. Modality and emotion category were fitted as nominal variables and stimulus duration³ as quantitative variable. The log-transformed T and C concentrations were mean-centered and multiplied to create an interaction term (Mehta et al., 2015a). Significant interactions were decomposed using the procedures of Aiken & West (1991) and Dawson (2014). Corrections for multiple testing were implemented using Bonferroni's method.

For the descriptive analysis of the data, *relative frequencies*, *confusion matrices* and Wagner's
(1993) *unbiased hit rate* (*H_u*), which is the rate of correctly identified stimuli multiplied by the
rate of correct judgments of the stimuli, were calculated. The data was analysed using the R
language and environment for statistical computing and graphics version 3.4.3 (R Core Team,
2017) and the integrated environment R-Studio version 1.0.153 (used packages: *coin*; *ggplot2*; *glm*; *MASS*; *pwr*; *rsq*).

Results

Descriptive analysis

Audio-visual emotional expressions were recognized with approximately 90% accuracy (lowest identification rate 89% for disgust). Angry expressions were recognized with better accuracy from the voice (90%) than the face (82%). Conversely, for fearful, happy and sad expressions accuracy scores were higher when presented visually ($85\% \le accuracy \ scores \le$ 99%) than auditorily ($72\% \le accuracy \ scores \le 77\%$). Neutral expressions had high accuracy scores in all three conditions of stimulus presentation ($90\% \le accuracy \ scores \le 95\%$).

³ Research has shown that emotions are not expressed to the same extent in vocal stimuli (e.g., Hammerschmidt and Juergens, 2007; Rigoulot et al., 2013; Schaerlaeken and Grandjean, 2018; Lausen and Hammerschmidt, 2020) and as indicated by our preliminary analysis, the average presentation times were different across the different emotions (see *Table S3* in supplementary material). To control for these differences, we implemented *stimuli duration* as a factor in our models (this decision was also based on our analysis plan from the pre-registration).

1 Participants were faster at recognizing disgust, fear, happy, sad and neutral expressions in the 2 visual and audio-visual modalities (median (Md) values between 1.03 sec. to 1.46 sec.) than in the auditory modality (Md values between 1.50 sec. to 1.95 sec.). Although the RTs for 3 4 disgusted, sad and neutral expressions were similar in the visual and audio-visual modalities, participants were slightly faster at recognizing fear and happy in the visual than audio-visual 5 6 modality. For angry expressions, the RTs were much shorter in the audio-visual (1.23 sec.) than in the auditory and visual modality, but much longer in the visual (1.53 sec.) than in the auditory 7 8 modality (1.47 sec.). Figure 2 illustrates participants' RA (panel A) and RTs (panel B) by 9 modality and emotion categories.



Figure 2 | **Recognition accuracy (RA) and reaction times (RTs) by modality and emotion categories** The bar charts (**panel A**) display RA, while the boxplots (**panel B**) illustrate the mean RT distributions. Error bars represent the standard error. The boxplots indicate that the distributions of RT are right skewed.

In all three modalities participants often misclassified happy and sad expressions as neutral. In the auditory and audio-visual modalities angry was mistaken for fearful, neutral for angry and fearful for sad. In the visual modality fear was confused with disgust, whereas anger and neutral were confused with sadness. Participants frequently misclassified disgust with anger in the visual and audio-visual modalities, while in the auditory modality disgust was mistaken for 1 neutral. The error classification patterns along with the unbiased hit rates are presented in Table

2 **2**.

Modality	Emotions portrayed	Emotion judgments						
		Anger	Disgust	Fear	Happiness	Neutral	Sadness	Hu
	Anger	89.97	0.87	3.94	2.25	2.59	0.38	.766
	Disgust	5.13	63.89	6.47	4.14	12.04	8.33	.590
Auditory	Fear	2.39	2.56	75.62	1.42	6.00	12.01	.621
	Happiness	1.71	0.40	0.22	77.47	19.73	0.47	.665
	Neutral	5.00	0.77	0.92	2.35	90.41	0.55	.549
	Sadness	1.43	0.74	4.95	2.59	18.17	72.12	.554
	Anger	82.55	3.60	2.87	0.09	3.46	7.43	.638
	Disgust	19.03	79.24	0.71	0.19	0.61	0.22	.704
Visual	Fear	0.75	4.17	92.27	0.21	1.08	1.52	.847
	Happiness	0.09	0.03	0.16	98.83	0.88	0.01	.967
	Neutral	2.47	0.22	0.69	1.51	89.72	5.39	.791
	Sadness	1.99	1.98	3.87	0.17	6.09	85.90	.734
	Anger	96.23	0.68	1.34	0.12	1.05	0.58	.860
	Disgust	7.46	89.24	1.02	0.21	1.20	0.87	.858
Audio-visual	Fear	0.58	2.29	92.74	0.13	1.36	2.90	.873
	Happiness	0.07	0.03	0.10	97.95	1.79	0.06	.969
	Neutral	2.51	0.16	0.37	0.52	95.48	0.96	.859
	Sadness	0.81	0.40	2.90	0.13	5.22	90.54	.855
	Anger	89.58	1.72	2.72	0.82	2.36	2.80	.752
	Disgust	10.54	77.46	2.73	1.51	4.62	3.14	.716
Across all	Fear	1.24	3.00	86.88	0.59	2.81	5.48	.780
3 modalities	Happiness	0.63	0.15	0.16	91.42	7.46	0.18	.864
	Neutral	3.33	0.38	0.66	1.46	91.87	2.30	.710
	Sadness	1.41	1.04	3.91	0.96	9.82	82.86	.709

Table 2 | Confusion matrices and unbiased hit rates (H_u) for participants judgments of emotion categories

Note: The accuracy percentage of correctly judged portrayals are given on the main diagonal in boldface type. H_u = the rate of correctly identified stimuli multiplied by the rate of correct judgments of the stimuli. To calculate row, column and overall percentages see *Table S4* in the supplementary material, which display the raw numbers of stimulus trials.

Main analysis

Recognition accuracy in the three modalities [Aim 1]

3 Participants' RA was significantly influenced by the modality of stimulus presentation (Friedman test: $\chi^2_{(2)} = 448.56$, p < 0.001). The results of Wilcoxon-rank-sum test indicated that 4 5 RA was significantly higher in the audio-visual modality than in the visual (z = 12.99, p < 0.001, $_{95\%}CI = [0.052; 0.062]$, effect size (r) = 0.774) or auditory modality (z = 14.525, p < 0.001, 6 $_{95\%}CI = [0.146; 0.163], r = 0.865)$. Participants' were also significantly more accurate at 7 discriminating emotions when making judgments on visual than on audio stimuli (z = 13.553, 8 p < 0.001, ${}_{95\%}CI = [0.090; 0.108]$, r = 0.807). Figure 3 illustrates RA in the three conditions of 9 10 stimulus presentation.

Emotion specificity and modality [Aim 2]

11 The modality of stimulus presentation across fearful, disgusted and sad expressions 12 significantly influenced participants' RA (Friedman test: $\chi^2_{(2)} = 400.47$, p < 0.001) and RTs

- 1 (Friedman test: $\chi^2_{(2)} = 208.77$, p < 0.001). Results comparing RA and RTs between modalities
- 2 for each emotion category showed that participants were significantly more accurate and faster



Figure 3 | Bar chart showing recognition accuracy (RA) in the three conditions of stimulus presentation Error bars represent the standard error. RA was significantly higher for the audiovisual presented stimuli than for the visual or audio stimuli. Accuracy scores were significantly higher for the visual than for auditory modality. All *p*-values were for 3 comparisons (i.e., 3 modalities) Bonferroni corrected.

3 at categorizing these emotions in the audio-visual than auditory modality (ps < 0.001; effect 4 sizes for accuracy ranging from 0.813 < r < 0.852 and for RTs ranging from 0.422 < r < 0.760). 5 Although RA was significantly higher for disgust (p < 0.001; r = 0.605) and sad expressions (p6 < 0.001; r = 0.417) in the audio-visual than visual modality, the accuracy scores for fear did not 7 significantly differ between these two modalities (p = 1.00; r = 0.038). Similarly, we observed 8 no significant RT differences between the audio-visual and visual modality for these three emotions (ps > 0.05; 0.005 < r < 0.159). While participants were significantly better at 9 recognizing angry expressions in the voice than in the face (p < 0.001, r = 0.492), RTs did not 10 11 differ significantly between these two modalities (p = 1.00, r = 0.052). In contrast, happy, 12 disgusted, fearful, and sad expressions had significantly higher accuracy scores and faster RTs

1 when they were presented visually than auditorily (ps < 0.001; $0.625 < r_{Accuracy} < 0.868$; 0.487

2 $< r_{\rm RT} < 0.816$). Table 3 displays the test statistics for each modality and emotion category.

	Emotions		RA					RT					
		Ζ	р	C	I95%	r	Ζ	p	CI	95%	r		
				LL	UL				LL	UL			
	Anger	13.71	< 0.001	0.125	0.146	0.816	-8.645	< 0.001	-0.299	-0.200	0.515		
Audio-visual	Disgust	10.155	< 0.001	0.104	0.125	0.605	0.550	1.00	-0.032	0.569	0.033		
VS.	Fear	0.632	1.00	-0.000	0.021	0.038	2.677	0.134	0.019	0.126	0.159		
Visual	Happiness	-2.820	0.087	-0.041	-0.000	0.168	3.397	0.012	0.018	0.072	0.202		
	Sadness	6.995	< 0.001	0.042	0.083	0.417	0.089	1.00	-0.044	0.051	0.005		
	Neutral	9.547	< 0.001	0.062	0.083	0.568	1.978	0.864	0.000	0.079	0.118		
·	Anger	10.579	< 0.001	0.063	0.083	0.630	-6.736	< 0.001	-0.302	-0.170	0.401		
Audio-visual	Disgust	14.315	< 0.001	0.250	0.271	0.852	-12.765	< 0.001	-0.735	-0.562	0.760		
VS.	Fear	13.646	< 0.001	0.167	0.188	0.813	-9.653	< 0.001	-0.526	-0.366	0.575		
Auditory	Happiness	14.534	< 0.001	0.188	0.208	0.865	-11.709	< 0.001	-0.506	-0.373	0.697		
	Sadness	13.858	< 0.001	0.187	0.208	0.825	-7.087	< 0.001	-0.359	-0.208	0.422		
	Neutral	8.789	< 0.001	0.062	0.083	0.523	-8.659	< 0.001	-0384	-0.242	0.516		
	Anger	8.268	< 0.001	0.063	0.104	0.492	-0.865	1.00	-0.094	0.036	0.052		
Auditory	Disgust	-10.50	< 0.001	-0.187	-0.146	0.625	13.711	< 0.001	0.597	0.746	0.816		
VS.	Fear	-13.318	< 0.001	-0.188	-0.167	0.793	12.113	< 0.001	0.433	0.579	0.721		
Visual	Happiness	-14.574	< 0.001	-0.229	-0.188	0.868	13.51	< 0.001	0.443	0.571	0.805		
	Sadness	-11.603	< 0.001	-0.187	-0.146	0.691	8.179	< 0.001	0.232	0.370	0.487		
	Neutral	0.941	1.00	-0.000	0.021	0.056	10.323	< 0.001	0.295	0.420	0.615		
Mater The differen	mana in DA and	DT hatasa	madalitian l		antonomian		ad main a Wil-			fam	DA and DT		

Table 3 | Recognition accuracy (RA) and reaction times (RTs) standardized *z-scores*, *p-values*, 95% confidence intervals (CI_{95%}) and effect sizes (r) for the comparisons between modalities by emotion categories

Note: The differences in RA and RT between modalities by emotion categories were analyzed using *Wilcoxon-rank-sum test.* All *p-values* for RA and RT were for 18 comparisons (3 modalities * 6 emotions) Bonferroni corrected. *Positive z-scores* indicate that RA is higher and RTs longer for the first vs. second modality, whereas *negative z-scores* indicate that RA is lower and RTs shorter for the first vs. second modality.

Interplay of hormones, recognition accuracy and reaction times [Aim 3]

Spearman's rank correlation coefficients between T1 and T2 were $r_s = 0.79$ for T and $r_s = 0.60$ 3 for C. In addition, results showed a negative association between RA and RT ($r_s = -0.26$). No 4 5 significant associations between T and RA ($r_s = 0.03$; p = 0.64), T and RT ($r_s = 0.04$; p = 0.55), 6 C and RA ($r_s = -0.02$; p = 0.74) or C and RT ($r_s = 0.11$; p = 0.06) were found. Figure S1 in 7 supplementary material illustrates the relationship between T or C and RA/RTs for each and 8 across all modalities. Similarly, there were no significant associations between T or C and RA/RTs for specific emotion categories (see *Table S5* in supplementary material). The results 9 of the logistic and linear regression models indicated small positive main effects of T on RA (β 10 $= .028, 95\% CI = [.039; .047], r_{partial} = .010, p = .004)$ and of C on RTs ($\beta = .013, 95\% CI = [.009;$ 11 .016], $r_{partial} = .021$, p < 0.001). In addition to the main effects, the results of TxC interaction 12 predicting RA and RT are displayed in Table 4. Consistent with the DHH, there was a 13 statistically significant TxC interaction for RA ($\beta = -.017$, 95%CI = [-.033, -.001], $r_{partial} = .007$ 14

Table 4 | Logistic and linear regression models of testosterone x cortisol interaction predicting recognition accuracy (RA) and reaction time (RT)

	ß	SE	t(121811)	р	rpartial	95%CI		
Testosterone (T)*	0.028	0.010	2.918	0.004	0.010	[0.009; 0.047]		
Cortisol (C)*	0.008	0.010	0.783	0.434	0.003	[-0.011; 0.026]		
Т х С*	-0.017	0.008	-2.090	0.037	0.007	[-0.033; -0.001]		
Testosterone (T)*	0.003	0.002	1.781	0.075	0.015	[-0.001; 0.007]		
Cortisol (C)*	0.013	0.002	7.030	< 0.001	0.021	[0.009; 0.016]		
Т х С*	0.004	0.002	2.531	0.011	0.007	[0.001; 0.007]		
$Note: \beta = \text{standardized regression coefficients}; *T and C were log-transformed because of skew in the distribution; *Interaction term computed for mean centered predictors. The models$								
	Testosterone (T)* Cortisol (C)* Testosterone (T)* Cortisol (C)* T x C* sion coefficients; *T and C were	$\begin{tabular}{ c c c c c } \hline & & & & & & & & & \\ \hline Testosterone (T)^* & & & & & & & \\ \hline Cortisol (C)^* & & & & & & & & \\ \hline Testosterone (T)^* & & & & & & & & \\ \hline Testosterone (T)^* & & & & & & & & \\ \hline Cortisol (C)^* & & & & & & & & \\ \hline Cortisol (C)^* & & & & & & & & \\ \hline Tx C^* & & & & & & & & & \\ \hline sion coefficients; *T and C were log-transformed beca \\ \hline \end{tabular}$	$\begin{tabular}{ c c c c c c c } \hline β & SE \\ \hline $Testosterone~(T)^*$ & 0.028 & 0.010 \\ \hline $Cortisol~(C)^*$ & 0.008 & 0.010 \\ \hline $T x C^*$ & -0.017 & 0.008 \\ \hline $Testosterone~(T)^*$ & 0.003 & 0.002 \\ \hline $Cortisol~(C)^*$ & 0.013 & 0.002 \\ \hline $T x C^*$ & 0.004 & 0.002 \\ \hline $sion coefficients; $^T and C were log-transformed because of skew in the d \\ \hline $f(x) = 1$ \\ \hline $f(x$	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$		

1 Logistican Bifay: "Sirrigelensity pets minatives of (Figure 4) new saled that till was investigated (Rasso cratechine ith) RA

	Ouasi-binomial	1 1 1	` JS	\$ SE	$t_{(121811)}$	1 p 1	<i>r</i> _{partial}	95%CI
	logistic regression	Testosterone (T)*	0.029	0.010	3.045	0.002	0.010	[0.010; 0.048]
2	when C was	low (berti@1090, s	e = 0.025,	$t_{(12181})^{0.040}3.0$	685,% ^{54±} 0	.002);58%hile	when3C	was-98* (B24]
	Linear regression	Testosterone (T)*	0.003	0.002	f.663	0.096	0.015	[-0.001; 0.006]
	(DV = RT)	Cortisol (C)*	0.013	0.002	7.430	< 0.001	0.021	[0.010; 0.017]
3	Note: β Osto O jized regree DV = dependent variable	250.025, 2(121811)	e. 1685, pm <u>ed</u> ():002);«wihitle	iswhien TC w	vas high, the	associati	omobetweehlities

T and RA was not significant (b = 0.021, se = 0.026, $t_{(121811)} = 0.816$, p = 0.414) [Fig. 4., panel 4



5 A]. Although not significant, C was positively associated with RA when T was low (b = 0.050,

Figure 4 | Recognition accuracy (RA) and Reaction time (RT) as a function of testosterone and cortisol In all four panels (A, A_I, B and B_I) the plotted points represent conditional low and high values (±1 SDs) of testosterone and cortisol.

0.31

low C

6 se = 0.027, $t_{(121811)} = 1.849$, p = 0.064) and negatively associated with RA when T was high (b)

low C

high C

high T

0.31

low T

7 =
$$-0.020$$
, se = 0.024 , $t_{(121811)} = -0.815$, $p = 0.415$) [Fig. 4., panel A_I]. Even though results showed

low T

high T

high C

a statistically significant TxC interaction for RT ($\beta = .004, 95\%$ CI = [.001, .007], $r_{partial} = .007$, p = .011), when decomposing this interaction the simple slope analyses indicated that T was positively associated with RT when C was high (b = 0.014, se = 0.005, $t_{(121811)} = 2.938$, p = 0.003). However, when C was low, the association between T and RA was not significant (b = -0.002, se = 0.005, $t_{(121811)} = -0.329$, p = 0.742) [*Fig. 4.*, *panel B*]. C was positively associated with RT when T was low (b = 0.017, se = 0.005, $t_{(121811)} = 3.440$, p = 0.001) and high (b = 0.033, se = 0.004, $t_{(121811)} = 7.478$, p < 0.001) [*Fig. 4.*, *panel B_I*].

To explore the extent to which TxC interactions on RA and RT were independent of each other,
we fitted RT as a covariate in the analysis of RA and RA as a covariate in the analysis of RT.
Results showed that RA and RT were strongly associated with each other (*ps* < 0.001) and that
TxC interactions on RA and RT were not independent of each other (see *Table S7* in supplementary material).

Discussion

13 The main objective of the present study was to investigate whether males' RA is influenced by 14 the modality of stimulus presentation in an explicit emotion recognition task. In addition, we examined whether specific emotions are more quickly and accurately detected as a function of 15 16 modality. Finally, we explored the effects of individual differences in baseline T and baseline C, as well as their interaction with RA and RTs. Our results provide compelling evidence that 17 18 RA is greatly improved when visual and audio information were jointly presented and that happy expressions were identified faster and with higher accuracy from faces than voices. 19 Conversely, angry expressions were better recognized from voices than faces. Although 20 Spearman's rank correlation coefficients indicated no significant associations between baseline 21 22 single hormones (i.e., T or C) and RA or RTs, the logistic- and linear regression models uncovered some evidence that there may be a positive association between T and the global 23

measure of RA as well as a positive association between C and the global measure of RT.
 Finally, our results showed that TxC interaction was significantly associated with both RA and
 RTs.

4 Our data highlights that the audio-visual presentation of emotional expressions significantly contributes to the ease and efficiency with which others' emotions are recognized. This is in 5 6 line with previous studies showing that the integration of auditorily and visually presented emotional information facilitates emotion recognition (e.g., Baenziger et al., 2009; Paulmann 7 and Pell, 2011; Jessen et al., 2012), reflected in higher accuracy and faster RTs, especially for 8 9 emotions such as disgust, fear (Collignon et al., 2008) and sadness (Kreifelts et al., 2007). One 10 of the most noticeable differences between the present study and previous investigations was 11 the presentation of several emotions and a neutral category (e.g., Collignon et al., 2008; de 12 Gelder and Vroomen, 2000, included only two emotions) and the measurement of RTs (e.g., not considered in Kreifelts et al., 2007 study). Yet, the facilitation effect concerning stimulus 13 14 classification manifested for every single emotion category during the audio-visual modality in 15 comparison to the auditory modality. In addition, RA in the audio-visual modality exceeded that of the visual modality for angry, disgusted, neutral and sad emotions, which indicates the 16 comprehensive nature of this integration process. As shown by the present results there are 17 18 some differences in the effectiveness, with which specific emotions are recognized from voices 19 and faces. Similar to the results reported in a meta-analysis by Elfenbein and Ambady (2002), anger was recognized better from voice than faces in our study, while better results for 20 21 happiness were achieved from the visual compared to the auditory modality. This suggests that 22 sensory modalities do not merely carry redundant information but rather, each may have certain specialized functions for the communication of emotions. Although the estimation of a visual 23 24 threat (e.g., angry face) can be accurately predicted from close proximity, it has been shown that the louder, higher pitched sound of anger is particularly useful for both, proximal and distal 25

1 spaces (see Ceravolo et al., 2016, for details). As it is highly adaptive to recognize and react to 2 a potential threat in the environment (Pichon et al., 2008), the accurate detection of anger might, 3 therefore, rely more on the human auditory than visual system. Previous research on facial 4 expression recognition has consistently reported that happy expressions are recognized more accurately and faster than other basic emotions (e.g., Nummenmaa and Calvo, 2015). Our data 5 6 provide further support for these findings, but not for our prediction (1b) that emotions 7 communicated by the voice are recognized at higher rates of accuracy than in the visual channel. 8 Nevertheless, it is possible that what determines the recognition advantage of happy faces is 9 not so much their affect, but rather their perceptual and categorical distinctiveness from other 10 emotional expressions (see Calvo et al., 2014, for details) as well as their frequent occurrence 11 in everyday social contexts, thus, tuning the visual system towards efficient recognition of these 12 faces (Nummenmaa and Calvo, 2015). Moreover, it has been argued that physical feature extraction can occur instantaneously for facial expressions, while the interplay of acoustic cues 13 14 over time occurs in a probabilistic manner (Juslin and Laukka, 2003) and thus, may not engage 15 a similar process for vocal expressions (see Paulmann and Pell, 2011, for details). This could have strengthened the underlying knowledge about emotions leading to improved RA and RTs 16 17 in the visual modality.

18 The available evidence regarding the relationship between T and males' emotion recognition ability is by no means clear-cut, making explicit claims about the direction of these effects 19 20 impossible. The two predictions made in the present study were based on reported observations 21 that in explicit emotion recognition tasks T might have a negative influence on the recognition 22 of emotions (Fujisawa and Shinohara, 2011; Rukavina et al., 2018), and that RTs to threatrelated emotional expressions (i.e., angry, fear) would be much shorter with increasing levels 23 24 of T (Derntl et al., 2009). Similar to other reports in the literature, the results of our primary analysis (i.e., Spearman's rank correlation test) did not provide compelling evidence on the 25

1 association of single steroid hormones (i.e., T or C) with RA or RTs (Derntl et al., 2009; 2 Duesenberg et al., 2016). In contrast to the reported effect sizes or the significant effects between T and specific emotion categories (Derntl et al., 2009; Rukavina et al., 2018), the 3 4 correlation coefficients for both hormones were small or close to zero across all modalities in our study. After adjusting for stimuli duration, emotion categories and modalities in our logistic 5 6 and linear models, results indicated small positive additive effects of T on RA and of C on RTs. 7 The direction of this pattern is similar to those of previous findings which reported that 8 competition-induced T-changes are positively related to the recognition of facial expressions 9 of emotion (Vongas & Al Hajj, 2017). In contrast to other studies which reported higher RA 10 after stress induction (Deckers et al., 2015), our study failed to provide evidence for an 11 association between C-levels and RA. Nevertheless, as indicated by our data high C levels are 12 positively related to RTs (slower response times). This finding, however, is opposite to what Feeney et al. (2012) reported, namely that higher C levels are predictive of faster RTs in 13 14 emotion recognition tasks. One should note that the association between T and our outcome 15 measures was not in the expected direction (i.e., negative association) and that the C patterns 16 are opposite to what stress induction studies have reported. However, our study design deviated 17 from that of previous studies in theoretical and methodological ways. Studies reporting a 18 negative association between T or C concentrations and emotion recognition either artificially administered T to participants and used exclusively females in their sample (e.g., van Honk and 19 20 Schutter, 2007; Bos et al., 2016) or, assessed this relationship after stress-induced C changes in 21 different demographic populations [e.g., patients (Deckers et al., 2015); young vs. middle aged 22 adults (Feeney et al., 2012)]. Similar to Vongas and Al Hajj (2017), our participants exhibited normal fluctuating levels of T and thus, it is possible that emotion recognition becomes 23 24 impaired only at very high levels of T. Due to the ease and simplicity of our emotion recognition task, it is also possible that variance in performance may be greater in competitive interactions 25

(e.g., Eisenegger et al., 2017; Henry et al., 2017) or in response to laboratory-stress induction
methods (e.g., Buchanan and Tranel, 2008; Henckens et al., 2016; Everaerd et al., 2017). Since
laboratory methods employed when assessing hormonal changes have been argued to induce a
variety of differential hormone responses in individuals (see Welker et al., 2017, for details)
one could assume that the small effects for the association between T or C and RA or RTs,
respectively, might have been greater/different if we had used similar approaches in our study
and examined T and C changes rather than baseline hormone levels.

8 Most previous research has investigated T and C independently, but neurobiological studies 9 indicated that C may antagonize the relation between T and behaviour (e.g., Chen et al., 1997; 10 Liening and Josephs, 2010; Goetz et al., 2014). Growing evidence supports that T-related 11 behaviours, such as status seeking, risk-taking and aggression, are better explained by 12 considering the interaction between T and C than by evaluating T fluctuations in isolation (e.g., Popma et al., 2007; Mehta and Josephs, 2010; Carré and Mehta, 2011; Mehta et al., 2015a; but 13 14 see Grebe et al., 2019). These findings are in line with the idea that environmental stress, as 15 reflected by C concentrations, might reduce or even block the effect of T on direct and indirect behaviours (see Viau, 2002, for details). Following the dual-hormone hypothesis (Mehta and 16 Josephs, 2010), we explored in the present study whether the relationship between baseline T 17 18 and response variables, namely RA and RT, is enhanced when baseline C levels are low, and attenuated when baseline C levels are high. Similar to the obtained results in the meta-analysis 19 by Dekkers et al. (2019), the overall effect size of T by C interaction on RA and RT was small 20 21 but significant in our study. When deconstructing this interaction, our results suggested a 22 positive association between T and RA among low C, but not high C, individuals. Further, they indicated positive associations between T and RTs among high C individuals, as well as, 23 24 between C and RTs among individuals with both high and low T. However, as indicated by the simple slope analysis the association between C and RTs was much stronger for high T- than 25

for low T individuals. In agreement with the dual-hormone model, our results showed that high T was associated with higher RA and faster RTs only when C levels were low. Individuals with high levels of T and C were much slower at categorizing emotions compared to those individuals with high T low C, even though there was little difference in terms of RA. Although our data revealed similarly fast RTs in the emotion recognition task for both low T low C individuals and high T low C individuals, the RA was much lower for low T low C individuals than high T low C individuals.

8 The DHH predicts a negative interaction effect if higher scores on the dependent measures 9 indicate increases in behaviours related to the pursuit of high status (Dekkers et al., 2019; 10 Knight et al., 2020). As a whole, our results are in line with the dual-hormone statistical 11 prediction by showing that individuals with relatively high baseline T and low baseline C 12 performed well on the task in terms of both accuracy and speed (i.e., because in our study the signs for RA (+) and RTs (-) are opposite to what is better performance, DHH predicts a 13 14 negative interaction effect for RA and a positive interaction effect for RT). This pattern is also 15 consistent with those of prior studies showing that the combination of high T low C is linked to better performance in status-relevant tasks (e.g., Mehta et al., 2015b; Akinola et al., 2016; 16 Henry et al., 2017; Casto et al., 2019). These and other studies (e.g., Mehta and Josephs, 2010; 17 18 Ponzi et al., 2016; Sherman et al., 2016) suggested that high T low C individuals are prone to enhance their status in different social contexts (e.g., competition, performance, leadership) 19 through their proactive actions as well as assertive, extraverted, confident and dominant 20 21 behaviours. Henry et al. (2017), for instance, argued that levels of T and C may affect 22 neurotransmission between the amygdala and hypothalamus in response to a threat to status, which then impacts behavioural performance. They suggested that high T and low C may 23 24 heighten individuals' motivation to perform well in a task (in order to maintain or gain status), while high levels of T and C may disturb individuals' processing of status threat. These 25

1 explanations can also be extended to our dual-hormone findings. Assuming that high T low C 2 individuals believed that being accurate and fast would be perceived as a marker of high status, 3 it is possible that their motivation to perform well on the task increased. Research has suggested 4 that C-levels tend to increase when individuals appraise a task to be novel and challenging or when experiencing fear of losing social status (e.g., Dickerson, 2008; Sherman and Mehta, 5 6 2020). Although speculative, the fear of losing social status might have led individuals with high T and high C to compromise RT for RA, thus explaining the difference in RT but not RA 7 in high T individuals with low vs. high C (see, Tops and Boksem, 2011, for effects of C on 8 9 behavioural inhibition). Individuals with a low T low C profile were argued to engage less in 10 status-related behaviours because they lack a 'strong power motive' or 'dominant personality' 11 (Josephs et al., 2006; Sherman et al., 2016). Therefore, one could speculate that in our study 12 these individuals were less motivated to engage in the task, reflected in their lower recognition 13 accuracy.

Although the association between T, C and perceptions of social status is clear in the abovementioned studies, it remains speculative at this point whether our outcome measures (i.e., RA,
RT) or the task-setup are relevant to status. Thus, future studies examining behaviours thought
to be relevant to status should preregister their expectations in terms of what outcome would
be considered a status-seeking behaviour (Knight et al., 2020).

In addition to status-seeking behaviours, evidence suggests that higher levels of T are associated with poorer empathic accuracy (e.g., Nitschke & Bartz, 2020). In a large study (*N* = 469; 323 males, 146 females) Zilioli et al. (2015) investigated the association between baseline T, baseline C and empathy. The authors assessed empathy using a well-validated psychometric questionnaire (*Interpersonal Reactivity Index*, IRI) and the *Reading the Mind in the Eyes Test* (RMET). In line with the DHH predictions, they found that baseline T was negatively associated with empathy (as measured by IRI), but only under conditions of low C. However,

1 the dual-hormone effect did not extend to the RMET. In contrast, in our study baseline T-levels 2 were positively associated with emotion recognition. Importantly, this relation was further 3 qualified by low baseline C-levels. There are several possible reasons for why our findings are 4 opposite to what Zilioli et al. (2015) have reported. A possible explanation for the absence of a dual-hormone effect on RMET is related to the low statistical power of their study [while their 5 6 sample of males was larger than ours by 15%, the measurement accuracy in our study was much higher and the experimental error much lower (282 males x 432 items = 121824 measurements 7 8 vs. 323 males x 36 RMET items = 11628 measurements)]. Another possible explanation is 9 related to the way the interaction term was modelled [Zilioli et al. (2015) modelled the 10 interaction term by the product of testosterone x logarithm cortisol, while in our study this was 11 modelled by the product of logarithm T x logarithm C]. Other reasons that might explain the 12 discrepancy between our findings and what studies on the association between T, C and empathy have reported are likely related to the psychological constructs measured⁴ or, as 13 mentioned above, to the use of artificially administered T. Thus, it is difficult to tell whether 14 15 our results on the association between T, C and emotion recognition together with the patterns reported in the literature are due to specific paradigms, experimental procedures (e.g., 16 17 controlling for various factors when examining main effects of T or C, see also Grebe et al., 2019) or to the psychological constructs that are measured. Since the patterns we found in our 18 19 exploratory analysis were not preregistered and the effect sizes for the associations between 20 baseline T, C and TxC interaction with emotion recognition were small, we cannot rule out that

⁴ Although emotion recognition and empathy are often used in research interchangeably due to the fact that they are so interrelated, one important difference between these two constructs is in the way they are measured (for details, see Olderbak and Wilhelm, 2017). While empathy is conceptualized as typical behaviour where individuals are asked about the perception of emotion in general, in others and in oneself (e.g., Batson, 2009), emotion recognition is conceptualized as a maximal effort measure that focus on perceiving emotion in others expressed through certain modalities (e.g., Mayer et al., 2016). Studies that focus on empathy generally involve emotion induction (of the self or another) rather than recognition.

it is a false-positive finding. Certainly, more work is needed to test the exact direction and
 robustness of effects of TxC interaction with RA and RTs in explicit recognition tasks.

3 While our knowledge of how emotional information is integrated and recognized across 4 channels is advancing steadily, the available literature, including the present study, is limited in a number of ways. In comparison to our study, most of the research mentioned above has 5 6 evaluated a very small number of emotion categories (sometimes as few as two) and did not include a neutral baseline. Further, in some studies the auditory material consisted of speech 7 8 prosody (words, sentences). This opens up the possibility that the emotional tone of voice 9 interacted with the affective value carried by the sentences'/words' semantic contents. A related 10 issue of past work is the use of emotional exemplars in conflict situations argued to be highly 11 atypical of natural expressions of emotions (Paulmann and Pell, 2011). We addressed these 12 issues by presenting emotion stimuli devoid of meaning (i.e., pseudo-words, pseudo-sentences and affect bursts) which always contained a congruent set of cues (i.e., encoder sex, stimulus 13 14 length) to express one of five basic emotions or a neutral state. We chose static faces to ensure 15 our experimental conditions of stimulus presentation were compatible with the majority of prior literature. However, this format has been argued to be of less ecologically validity compared to 16 dynamic stimuli (Recio et al., 2011; Krumhuber et al., 2013). While this assumption is still 17 18 subject to some controversy (see Dobs et al., 2018, for details), future studies would benefit from using datasets of more naturalistic stimuli to further increase ecological validity. In our 19 20 audio-visual condition we used static facial expressions paired with (by its very nature) dynamic 21 vocal expressions. Although in our study, the audio and visual stimuli did not originate from 22 the same event, this cross-modal approach allowed us to investigate whether emotion recognition is facilitated by an enriched stimulus presentation under controlled conditions. 23 24 Despite our findings not directly informing the multimodal nature of emotion recognition, they are nonetheless consistent with the idea that emotion recognition processes incorporate all 25

1 available information, possibly in an involuntary manner, leading to systematically higher 2 accuracy rates. However, one needs to bear in mind that our approach represents a strong 3 simplification compared to a dynamic multisensory environment. For instance, research has 4 shown that dynamic facial stimuli are perceived as more intense, realistic and authentic than static expressions (see Krumhuber et al., 2017; 2013, for comprehensive reviews). Given that 5 6 in natural settings emotional expressions unfold over time (Verduyn et al., 2009) and involve facial action, vocalization and bodily movement (Keltner et al., 2016), the use of dynamic 7 8 stimulus material in future research would represent a crucial step for our understanding of the 9 multimodal nature of emotion recognition.

10 To date, many psychoneuroendocrinological studies, including the present research, have used 11 immunoassays to measure salivary T and C due to their cost effectiveness and accessibility to 12 many researchers and labs (Miller et al., 2013; Taylor et al., 2015). However, recent papers (e.g., Schultheiss et al., 2019; Prasad et al., 2019) suggest a potential instability in the validity 13 of immunoassays, influenced by matrix interference (e.g., freeze-thaw cycles, storage length 14 15 and temperature) and antibody performance (e.g., cross-reactivity, type of antibody used). 16 Compared to mass-spectrometry based assessment, immunoassays seem to inflate the estimates of salivary C (Miller et al., 2013) and T, especially at lower concentrations (see Welker et al. 17 18 2016, for details). Since prior research has documented inconsistent associations of T or C with behaviour, with some studies revealing strong effects and other studies (including ours) 19 revealing weak or null effects, future studies should consider mass-spectrometry based 20 21 measurement of hormone levels in saliva, which seems to allow for better replicability (Prasad 22 et al., 2019; Roy et al., 2019). Blood and saliva measurements reflect steroid excretion rates for short periods of time and are influenced by a number of factors such as time of the day, food 23 24 intake prior to sampling or sleep-related factors (Dettenborn et al., 2012). Alternative sampling methods, such as from hair or fingernails were found to be fairly robust to these factors and to 25

provide a cumulative measure representing steroid excretion levels over a longer period of time
 (Dettenborn et al., 2016; Matas and Koren, 2016). Thus, an interesting avenue for future
 research would be to assess whether hair or nail samples provide a stronger test of the
 relationship between baseline levels of T or C and RA in explicit recognition tasks.

It must also be noted that there are many variables, in addition to those that were accounted for 5 6 in this study, which may impact on baseline T or C levels. These include smoking, hours slept and encoder sex. While we asked participants to refrain from smoking before testing, we did 7 8 not gather data on chronic smoking habits, nor did we gather data on waking hours. Although 9 T levels seem not to fluctuate with regard to the emotional content of faces, it has been reported 10 that both males and females have elevated levels of T after exposure to faces of the opposite 11 sex (Zilioli et al., 2014). These are worthwhile issues which need to be considered in future 12 research. The homogeneous characteristics of our sample (i.e., university students, narrow age range) may show patterns which do not hold for different sociodemographic subgroups. Given 13 14 the increased focus on study replicability, future studies would benefit from combining datasets 15 of different laboratories with similar outcome measures in order to reduce costs and increase the external validity, reliability and generalizability of findings. The present study provided 16 evidence for differences in both RA and RTs in the three conditions of stimulus presentation 17 18 and potentially set the stage regarding the association of baseline TxC with these two response variables. It would thus be worthwhile to expand on these findings and examine whether the 19 20 same holds true for the other sex. This could be done, for instance, by investigating the 21 interaction between oestradiol and cortisol with RA, as previous research showed that high 22 oestradiol is associated with more externalizing behaviours (linked to emotion-recognition difficulties, see Chronaki et al., 2015), but only when cortisol was low (Tackett et al., 2015). 23

Conclusion

1 Humans interact socially, often with the help of emotions. Hence, it stands to reason that their 2 accurate recognition plays a pivotal role in regulating social interaction. Since there is the 3 proviso that females are more accurate than males at recognizing emotions, a more precise knowledge of the factors assumed to impact on their ability would help to convey more accurate 4 5 results. Given that much of our social interactions depend on the successful recognition of 6 emotional information, it is critical to understand how we make use of different sources of 7 emotional information and to identify whether we base emotional inferences on a particular 8 hierarchy of information channels. The results of our study exemplify that as emotional channel 9 availability increases, there is a corresponding increase in how accurately emotional displays 10 are explicitly recognized. Moreover, they provide compelling evidence that particular channels 11 are more effective for recognizing specific emotions and help explain inconsistencies in the past literature by highlighting that in explicit emotion recognition tasks voice-only expressions 12 do not have a RA advantage over face-only or voice-and-face expressions. In addition, they 13 14 replicate previous findings by establishing that for particular emotion categories RA and RTs 15 vary as a function of modality.

16 As most of the previous research has focused on the associations between single hormones and 17 facial emotion recognition, the present study uniquely contributes to the literature by providing a systematic examination of the association of baseline T, C and their interaction with RA and 18 RT across different sensory modalities (i.e., auditory, visual and audio-visual). Although for C, 19 20 as well as for the interaction between T and C, the analyses were exploratory, they might prove 21 of importance for researchers conducting work in this area to gain a more comprehensive understanding of when these effects emerge and when they do not. They may also yield a 22 23 substantial theoretical payoff by enabling richer and more accurate predictions concerning the kind of outcomes tied to certain hormone level combinations. Crucially, our study advances 24

- 1 knowledge on the neuroendocrinology of emotion recognition in suggesting that T and C work
- 2 in concert to regulate emotion recognition. As shown by the patterns in our study, adding C to
- 3 the list of physiological modulators of T release, represents an important step towards a better
- 4 understanding of how androgens shape social behaviour and ultimately emotion recognition. It
- 5 hereby paves the way for impactful future research, especially for the effects regarding TxC
- 6 interaction with RA and RT.

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Author contributions

A. L. designed the research with input from C. B., L. P. and A.S.; C. B. collected part of the data and wrote on the method part; A.L. analysed the data and wrote the paper with input from

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Competing Interests

The authors declare no competing interests.

Data availability

The dataset generated and analysed for the current study is available at osf.io/2ayms.